# UNITED STATES DISTRICT COURT DISTRICT OF RHODE ISLAND

LINDA H. :

·

v. : C.A. No. 21-00283-MSM

:

KILOLO KIJAKAZI, Commissioner

Social Security Administration :

## REPORT AND RECOMMENDATION

Lincoln D. Almond, United States Magistrate Judge

This matter is before the Court for judicial review of a final decision of the Commissioner of the Social Security Administration ("Commissioner") denying Disability Insurance Benefits ("DIB") under the Social Security Act (the "Act"), 42 U.S.C. § 405(g). Plaintiff filed her Complaint on July 8, 2021 seeking to reverse the Decision of the Commissioner. On February 7, 2022, Plaintiff filed a Motion to Reverse, Without or, Alternatively, with a Remand for a Rehearing of the Commissioner's Final Decision. (ECF No. 11). On February 11, 2022, Defendant filed a Motion to Affirm the Commissioner's Decision. (ECF No. 12). A Reply was filed on March 21, 2022. (ECF No. 14).

This matter has been referred to me for preliminary review, findings, and recommended disposition. 28 U.S.C. § 636(b)(1)(B); LR Cv 72. Based upon my review of the record, the parties' submissions, and independent research, I find that there is not substantial evidence in this record to support the Commissioner's decision and findings that Plaintiff is not disabled within the meaning of the Act. Consequently, I recommend that Plaintiff's Motion to Reverse (ECF No. 11) be GRANTED and that the Commissioner's Motion to Affirm (ECF No. 12) be DENIED.

## I. PROCEDURAL HISTORY

Plaintiff filed an application for DIB on April 25, 2019. (Tr. 176-178). The application was denied initially on October 22, 2019 and on reconsideration on March 10, 2020. (Tr. 78-85, 87-94).

Plaintiff requested an Administrative Hearing. On November 20, 2020, a hearing was held before Administrative Law Judge Vincent M. Cascio (the "ALJ") at which time Plaintiff, represented by counsel, and a Vocational Expert ("VE") appeared and testified. (Tr. 31-58). The ALJ issued an unfavorable decision to Plaintiff on December 8, 2020. (Tr. 12-25). On May 6, 2021, the Appeals Council denied Plaintiff's request for review. (Tr. 1-3). A timely appeal was then filed with this Court.

## II. THE PARTIES' POSITIONS

Plaintiff contends that the ALJ erred at Step 2 by finding that her mental impairments were non-severe, and relatedly by equating the engagement in activities of daily living, such as driving, with the ability to perform skilled work.

The Commissioner disputes Plaintiff's claims and contends that the ALJ's Step 2 and RFC findings are supported by the record and must be affirmed.

## III. THE STANDARD OF REVIEW

The Commissioner's findings of fact are conclusive if supported by substantial evidence. 42 U.S.C. § 405(g). Substantial evidence is more than a scintilla – <u>i.e.</u>, the evidence must do more than merely create a suspicion of the existence of a fact and must include such relevant evidence as a reasonable person would accept as adequate to support the conclusion. <u>Ortiz v. Sec'y of HHS</u>, 955 F.2d 765, 769 (1<sup>st</sup> Cir. 1991) (<u>per curiam</u>); <u>Rodriguez v. Sec'y of HHS</u>, 647 F.2d 218, 222 (1<sup>st</sup> Cir. 1981).

Where the Commissioner's decision is supported by substantial evidence, the court must affirm, even if the court would have reached a contrary result as finder of fact. Rodriguez Pagan v. Sec'y of HHS, 819 F.2d 1, 3 (1st Cir. 1987); Barnes v. Sullivan, 932 F.2d 1356, 1358 (11th Cir. 1991). The court must view the evidence as a whole, taking into account evidence favorable as well as unfavorable to the decision. Frustaglia v. Sec'y of HHS, 829 F.2d 192, 195 (1st Cir. 1987); Parker v.

Bowen, 793 F.2d 1177 (11<sup>th</sup> Cir. 1986) (court also must consider evidence detracting from evidence on which Commissioner relied).

The court must reverse the ALJ's decision on plenary review, however, if the ALJ applies incorrect law, or if the ALJ fails to provide the court with sufficient reasoning to determine that he or she properly applied the law. Nguyen v. Chater, 172 F.3d 31, 35 (1st Cir. 1999) (per curiam); accord Cornelius v. Sullivan, 936 F.2d 1143, 1145 (11th Cir. 1991). Remand is unnecessary where all of the essential evidence was before the Appeals Council when it denied review, and the evidence establishes without any doubt that the claimant was disabled. Seavey v. Barnhart, 276 F.3d 1, 11 (1st Cir. 2001) citing, Mowery v. Heckler, 771 F.2d 966, 973 (6th Cir. 1985).

The court may remand a case to the Commissioner for a rehearing under sentence four of 42 U.S.C. § 405(g); under sentence six of 42 U.S.C. § 405(g); or under both sentences. Seavey, 276 F.3d at 8. To remand under sentence four, the court must either find that the Commissioner's decision is not supported by substantial evidence, or that the Commissioner incorrectly applied the law relevant to the disability claim. Id.; accord Brenem v. Harris, 621 F.2d 688, 690 (5<sup>th</sup> Cir. 1980) (remand appropriate where record was insufficient to affirm, but also was insufficient for district court to find claimant disabled).

Where the court cannot discern the basis for the Commissioner's decision, a sentence-four remand may be appropriate to allow her to explain the basis for her decision. Freeman v. Barnhart, 274 F.3d 606, 609-610 (1st Cir. 2001). On remand under sentence four, the ALJ should review the case on a complete record, including any new material evidence. Diorio v. Heckler, 721 F.2d 726, 729 (11th Cir. 1983) (necessary for ALJ on remand to consider psychiatric report tendered to Appeals Council). After a sentence four remand, the court enters a final and appealable judgment immediately, and thus loses jurisdiction. Freeman, 274 F.3d at 610.

In contrast, sentence six of 42 U.S.C. § 405(g) provides:

The court...may at any time order additional evidence to be taken before the Commissioner of Social Security, but only upon a showing that there is new evidence which is material and that there is good cause for the failure to incorporate such evidence into the record in a prior proceeding;

42 U.S.C. § 405(g). To remand under sentence six, the claimant must establish: (1) that there is new, non-cumulative evidence; (2) that the evidence is material, relevant and probative so that there is a reasonable possibility that it would change the administrative result; and (3) there is good cause for failure to submit the evidence at the administrative level. <u>See Jackson v. Chater</u>, 99 F.3d 1086, 1090-1092 (11<sup>th</sup> Cir. 1996).

A sentence six remand may be warranted, even in the absence of an error by the Commissioner, if new, material evidence becomes available to the claimant. <u>Id.</u> With a sentence six remand, the parties must return to the court after remand to file modified findings of fact. <u>Id.</u> The court retains jurisdiction pending remand and does not enter a final judgment until after the completion of remand proceedings. <u>Id.</u>

The law defines disability as the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than twelve months. 42 U.S.C. §§ 416(i), 423(d)(1); 20 C.F.R. § 404.1505. The impairment must be severe, making the claimant unable to do her previous work, or any other substantial gainful activity which exists in the national economy. 42 U.S.C. § 423(d)(2); 20 C.F.R. §§ 404.1505-404.1511.

## A. Opinion Evidence

For applications like this one, filed on or after March 27, 2017, the Administration has fundamentally changed how adjudicators assess opinion evidence. The requirements that adjudicators assign "controlling weight" to a well-supported treating source's medical opinion that is consistent with other evidence, and, if controlling weight is not given, must state the specific weight that is

assigned – are gone. <u>See Shaw v. Saul</u>, No. 19-cv-730-LM, 2020 WL 3072072, \*4-5 (D.N.H. June 10, 2020) citing <u>Nicole C. v. Saul</u>, Case No. cv 19-127JJM, 2020 WL 57727, at \*4 (D.R.I. Jan. 6, 2020) (citing 20 C.F.R. § 404.1520c(a)). Under the newly applicable regulations, an ALJ does not assign specific evidentiary weight to any medical opinion and does not defer to the opinion of any medical source (including the claimant's treating providers). 20 C.F.R. §§ 404.1520c(a), 416.920c(a). Instead, the ALJ evaluates the relative persuasiveness of the medical evidence in terms of five specified factors. <u>Id.</u>

The five factors the ALJ considers in evaluating the persuasiveness of a medical opinion are supportability (the relevance of the opinion's cited objective medical evidence), consistency (how consistent the opinion is with all of the evidence from medical and non-medical sources), treatment/examining relationship (including length of treatment relationship, frequency of examinations, purpose of treatment relationship, and existence and extent of treatment/examining relationship), specialization (the relevance of the source's specialized education or training to the claimant's condition), and what the Administration refers to as "other factors" (the medical source's familiarity with the claimant's medical record as a whole and/or with the Administration's policies or evidentiary requirements). Shaw, 2020 WL 3072072 at \*4 citing 20 C.F.R. §§ 404.1520c(c)(1)-(5), 416.920c(c)(1)-(5) (emphasis supplied). Of the five factors, the "most important" are supportability and consistency. Id. §§ 404.1520c(a), 404.1520c(b)(2), 416.920c(a), 416.920c(b)(2).

While the ALJ must consider all five of the factors in evaluating the persuasiveness of medical evidence, when preparing the written decision, the ALJ is, in most cases, only required to discuss application of the supportability and consistency factors. <u>Id.</u> §§ 404.1520c(b)(2), 416.920c(b)(2). Only where contrary medical opinions are equally persuasive in terms of both supportability and consistency is the ALJ required to discuss their relative persuasiveness in terms of the treatment/examining relationship, specialization, and other factors. <u>Id.</u> §§ 404.1520c(b)(3),

416.920c(b)(3). In addition, where a single medical source offers multiple opinions, the ALJ is not required to discuss each opinion individually, but instead may address all of the source's opinions "together in a single analysis." <u>Id.</u> §§ 404.1520c(b)(1), 416.920c(b)(1).

Moreover, while the ALJ must consider all of the relevant evidence in the record, <u>Id.</u> §§ 404.1520b(a)-(b), 416.920b(a)-(b), the ALJ need not discuss evidence from nonmedical sources, including, <u>e.g.</u>, the claimant, the claimant's friends and family, educational personnel, and social welfare agency personnel. <u>Id.</u> §§ 404.1502(e), 404.1520c(d), 416.902(j), 416.920c(d). And while the regulations require the ALJ to discuss the relative persuasiveness of all medical source evidence, <u>Id.</u> §§ 404.1520c(b), 416.920c(b), the claimant's impairments must be established specifically by evidence from an acceptable medical source, <u>Id.</u> §§ 404.1521, 416.921.

"Acceptable medical sources" are limited to physicians and psychologists, and (within their areas of specialization or practice) to optometrists, podiatrists, audiologists, advanced practice registered nurses, physician assistants, and speech pathologists. <u>Id.</u> §§ 404.1502(a), 416.902(a). Evidence from other medical sources, such as licensed social workers or chiropractors, is insufficient to establish the existence or severity of a claimant's impairments. <u>Id.</u> Finally, the ALJ need not discuss evidence that is "inherently neither valuable nor persuasive," including decisions by other governmental agencies or nongovernmental entities, findings made by state disability examiners at any previous level of adjudication, and statements by medical sources as to any issue reserved to the Commissioner. <u>Id.</u> §§ 404.1520b(c), 416.920b(c).

## B. Developing the Record

The ALJ has a duty to fully and fairly develop the record. Heggarty v. Sullivan, 947 F.2d 990, 997 (1<sup>st</sup> Cir. 1991). The Commissioner also has a duty to notify a claimant of the statutory right to retained counsel at the social security hearing, and to solicit a knowing and voluntary waiver of that right if counsel is not retained. See 42 U.S.C. § 406; Evangelista v. Sec'y of HHS, 826 F.2d 136, 142

(1<sup>st</sup> Cir. 1987). The obligation to fully and fairly develop the record exists if a claimant has waived the right to retained counsel, and even if the claimant is represented by counsel. <u>Id.</u> However, where an unrepresented claimant has not waived the right to retained counsel, the ALJ's obligation to develop a full and fair record rises to a special duty. <u>See Heggarty</u>, 947 F.2d at 997, <u>citing Currier v. Sec'y of Health Educ</u>. and Welfare, 612 F.2d 594, 598 (1<sup>st</sup> Cir. 1980).

## C. Medical Tests and Examinations

The ALJ is required to order additional medical tests and exams only when a claimant's medical sources do not give sufficient medical evidence about an impairment to determine whether the claimant is disabled. 20 C.F.R. § 416.917; see also Conley v. Bowen, 781 F.2d 143, 146 (8<sup>th</sup> Cir. 1986). In fulfilling his duty to conduct a full and fair inquiry, the ALJ is not required to order a consultative examination unless the record establishes that such an examination is necessary to enable the ALJ to render an informed decision. Carrillo Marin v. Sec'y of HHS, 758 F.2d 14, 17 (1<sup>st</sup> Cir. 1985).

# **D.** The Five-step Evaluation

The ALJ must follow five steps in evaluating a claim of disability. See 20 C.F.R. §§ 404.1520, 416.920. First, if a claimant is working at a substantial gainful activity, she is not disabled. 20 C.F.R. § 404.1520(b). Second, if a claimant does not have any impairment or combination of impairments which significantly limit her physical or mental ability to do basic work activities, then she does not have a severe impairment and is not disabled. 20 C.F.R. § 404.1520(c). Third, if a claimant's impairments meet or equal an impairment listed in 20 C.F.R. Part 404, Subpart P, Appendix 1, she is disabled. 20 C.F.R. § 404.1520(d). Fourth, if a claimant's impairments do not prevent her from doing past relevant work, she is not disabled. 20 C.F.R. § 404.1520(e). Fifth, if a claimant's impairments (considering her residual functional capacity, age, education, and past work) prevent her from doing other work that exists in the national economy, then she is disabled. 20 C.F.R. § 404.1520(f).

Significantly, the claimant bears the burden of proof at steps one through four, but the Commissioner bears the burden at step five. Wells v. Barnhart, 267 F. Supp. 2d 138, 144 (D. Mass. 2003) (five-step process applies to both SSDI and SSI claims).

In determining whether a claimant's physical and mental impairments are sufficiently severe, the ALJ must consider the combined effect of all of the claimant's impairments and must consider any medically severe combination of impairments throughout the disability determination process. 42 U.S.C. § 423(d)(2)(B). Accordingly, the ALJ must make specific and well-articulated findings as to the effect of a combination of impairments when determining whether an individual is disabled. <u>Davis v. Shalala</u>, 985 F.2d 528, 534 (11th Cir. 1993).

The claimant bears the ultimate burden of proving the existence of a disability as defined by the Social Security Act. Seavey, 276 F.3d at 5. The claimant must prove disability on or before the last day of her insured status for the purposes of disability benefits. Deblois v. Sec'y of HHS, 686 F.2d 76 (1st Cir. 1982), 42 U.S.C. §§ 416(i)(3), 423(a), (c). If a claimant becomes disabled after she has lost insured status, her claim for disability benefits must be denied despite her disability. Id.

## E. Other Work

Once the ALJ finds that a claimant cannot return to her prior work, the burden of proof shifts to the Commissioner to establish that the claimant could perform other work that exists in the national economy. Seavey, 276 F.3d at 5. In determining whether the Commissioner has met this burden, the ALJ must develop a full record regarding the vocational opportunities available to a claimant. Allen v. Sullivan, 880 F.2d 1200, 1201 (11th Cir. 1989). This burden may sometimes be met through exclusive reliance on the Medical-Vocational Guidelines (the "grids"). Seavey, 276 F.3d at 5. Exclusive reliance on the "grids" is appropriate where the claimant suffers primarily from an exertional impairment, without significant non-exertional factors. Id.; see also Heckler v. Campbell, 461 U.S. 458 (1983) (exclusive reliance on the grids is appropriate in cases involving only exertional

impairments, impairments which place limits on an individual's ability to meet job strength requirements).

Exclusive reliance is not appropriate when a claimant is unable to perform a full range of work at a given residual functional level or when a claimant has a non-exertional impairment that significantly limits basic work skills. Nguyen, 172 F.3d at 36. In almost all of such cases, the Commissioner's burden can be met only through the use of a vocational expert. Heggarty, 947 F.2d at 996. It is only when the claimant can clearly do unlimited types of work at a given residual functional level that it is unnecessary to call a vocational expert to establish whether the claimant can perform work which exists in the national economy. See Ferguson v. Schweiker, 641 F.2d 243, 248 (5th Cir. 1981). In any event, the ALJ must make a specific finding as to whether the non-exertional limitations are severe enough to preclude a wide range of employment at the given work capacity level indicated by the exertional limitations.

## 1. Pain

"Pain can constitute a significant non-exertional impairment." Nguyen, 172 F.3d at 36. Congress has determined that a claimant will not be considered disabled unless he furnishes medical and other evidence (e.g., medical signs and laboratory findings) showing the existence of a medical impairment which could reasonably be expected to produce the pain or symptoms alleged. 42 U.S.C. § 423(d)(5)(A). The ALJ must consider all of a claimant's statements about his symptoms, including pain, and determine the extent to which the symptoms can reasonably be accepted as consistent with the objective medical evidence. SSR 16-3p, 2017 WL 4790249, at \*49462; 20 C.F.R. § 404.1529(c)(3). In determining whether the medical signs and laboratory findings show medical impairments which reasonably could be expected to produce the pain alleged, the ALJ must apply the First Circuit's six-part pain analysis and consider the following factors:

(1) The nature, location, onset, duration, frequency, radiation, and intensity of any pain;

- (2) Precipitating and aggravating factors (<u>e.g.</u>, movement, activity, environmental conditions);
- (3) Type, dosage, effectiveness, and adverse side-effects of any pain medication;
- (4) Treatment, other than medication, for relief of pain;
- (5) Functional restrictions; and
- (6) The claimant's daily activities.

Avery v. Sec'y of HHS, 797 F.2d 19, 29 (1st Cir. 1986). An individual's statement as to pain is not, by itself, conclusive of disability. 42 U.S.C. § 423(d)(5)(A). However, the individual's statements about the intensity, persistence, and limited effects of symptoms may not be disregarded "solely because the objective medical evidence does not substantiate the degree of impairment-related symptoms." SSR 16-3p, 2017 WL 4790249, at \*49465.

## 2. Credibility

Where an ALJ decides not to credit a claimant's testimony about pain, the ALJ must articulate specific and adequate reasons for doing so, or the record must be obvious as to the credibility finding. Rohrberg, 26 F. Supp. 2d at 309. A reviewing court will not disturb a clearly articulated credibility finding with substantial supporting evidence in the record. See Frustaglia, 829 F.2d at 195. The failure to articulate the reasons for discrediting subjective pain testimony requires that the testimony be accepted as true. See DaRosa v. Sec'y of Health and Human Servs., 803 F.2d 24 (1st Cir. 1986).

A lack of a sufficiently explicit credibility finding becomes a ground for remand when credibility is critical to the outcome of the case. See Smallwood v. Schweiker, 681 F.2d 1349, 1352 (11<sup>th</sup> Cir. 1982). If proof of disability is based on subjective evidence and a credibility determination is, therefore, critical to the decision, "the ALJ must either explicitly discredit such testimony or the implication must be so clear as to amount to a specific credibility finding." Foote v. Chater, 67 F.3d 1553, 1562 (11<sup>th</sup> Cir. 1995) (quoting Tieniber v. Heckler, 720 F.2d 1251, 1255 (11<sup>th</sup> Cir. 1983)).

Guidance in evaluating the claimant's statements regarding the intensity, persistence, and limiting effects of subjective symptoms is provided by SSR 16-3p, 2017 WL 4790249, at \*49462 (Oct. 25, 2017). It directs the ALJ to consider the entire case record, including the objective medical evidence; an individual's statements about the intensity, persistence, and limiting effects of symptoms; statements and other information provided by medical sources and other persons; any other relevant evidence; and whether statements about the intensity, persistence, and limiting effects of symptoms are consistent with the medical signs and laboratory findings. SSR 16-3p, 2017 WL 4790249, at \*49465.

#### V. APPLICATION AND ANALYSIS

#### A. The ALJ's Decision

The ALJ decided this case adverse to Plaintiff at Step 4. At Step 2, the ALJ found that Plaintiff had various "severe" physical impairments but that her mental impairments were all non-severe. (Tr. 18). As to RFC, the ALJ concluded that Plaintiff could perform a limited range of light work with no mental health limitations. (Tr. 20). Based on this RFC and testimony of the VE, the ALJ denied the claim at Step 4 finding that Plaintiff was capable of performing her past (sedentary, semi-skilled) work as a benefits clerk. (Tr. 24).

# B. The ALJ's Step 2 Determination is Not Supported by Substantial Evidence

This appeal is focused on Plaintiff's mental impairments and whether those impairments limit her to unskilled work. Plaintiff's counsel argued to the ALJ that Plaintiff was approaching retirement age and that a disability finding was dictated by the Grids (202.04) based on Plaintiff's age, education, and limitation to unskilled work. (Tr. 37, 57). The ALJ appears to accept that premise when questioning the VE but ultimately finds that Plaintiff can perform skilled work. (Tr. 25, 52). The VE

also testified that limiting Plaintiff to unskilled work would preclude her past relevant work.<sup>1</sup> (Tr. 52-53).

Plaintiff challenges the ALJ's finding at Step 2 that her depression, anxiety, PTSD, and ADD were not "severe" mental impairments. An impairment is not "severe" when it does not significantly limit a claimant's mental ability to do basic work activities. 20 C.F.R. § 416.922. The Commissioner has adopted a "slight abnormality" standard which provides that an impairment is "non-severe" when the medical evidence establishes only a slight abnormality that has "no more than a minimal effect on the individual's ability to work...." Social Security Ruling ("SSR") 85-28. "The step two inquiry is a de minimis screening device [used] to dispose of groundless or frivolous claims." Orellana v. Astrue, 547 F. Supp. 2d 1169, 1172 (E.D. Wash. 2008) (citing Bowen v. Yuckert, 482 U.S. 137, 153-154 (1987)); see also Lisi v. Apfel, 111 F. Supp. 2d 103, 110 (D. R.I. 2000).

Here, the state agency reviewing psychologists (Dr. Gordon and Dr. Olson) each concluded that Plaintiff's mental impairments were "severe" and resulted in certain moderate functional limitations. (Exhs. B3A and B5A). They opined that Plaintiff had moderate limitations in the ability to concentrate, persist, or maintain pace, and could attend to basic tasks which are simple, routine, repetitive and familiar in nature and would have errors in concentration on detailed, complex tasks. <u>Id.</u> They also specifically opined that Plaintiff was limited to simple, unskilled work and was unable to perform her past relevant work. (Tr. 84, 93). If accepted, these opinions would dictate a Step 2 finding that Plaintiff's mental impairments were severe, an RFC limiting Plaintiff to unskilled work, and a

<sup>&</sup>lt;sup>1</sup> Prior to the VE's testimony, the ALJ asked Plaintiff's counsel if his "client [would] consider amending the alleged onset date [from January 19, 2019] to the date of the consultative exam of October 7, 2020 for a fully favorable [decision on the claim]." (Tr. 48). After conferring with her counsel off the record, Plaintiff declined the "offer," and the hearing proceeded to a decision ultimately finding that Plaintiff was not limited to unskilled work and thus not disabled. <u>Id.</u> Although the relevant issue was Plaintiff's mental capacity for skilled work, the consultative exam linked to the ALJ's proposed onset date amendment was a physical consultative exam conducted by Dr. McCloy, a medical doctor, which the ALJ ultimately found unpersuasive, in part, because Dr. McCloy's findings on mental limitations were outside the scope of the exam. (Exh. 20F; Tr. 24). Frankly, the ALJ's proposed amendment appears arbitrary and inconsistent with his ultimate findings.

disability finding. However, the ALJ found that these opinions were not "fully persuasive" based upon the medical records and Plaintiff's reported activities of daily living. (Tr. 23). The ALJ also similarly found an opinion from Plaintiff's mental health counselor, Ms. MacDonald, to be unpersuasive. (Tr. 24).

While the rejection of Ms. MacDonald's opinion of marked limitations is supported by the record, the ALJ's Step 2 finding and treatment of the opinions of Dr. Gordon and Dr. Olson is not. First, the ALJ relies heavily on Plaintiff's recent part-time work activity as a basis for his Step 2 finding. The ALJ concluded at Step 1 that this work was not substantial gainful activity, and it is described in the record as a front desk clerk job at a local hotel working the night shift three days per week for five to seven hours per day at \$12.00 per hour. (Exh. B6D; Tr. 18, 42, 679). There is nothing about this entry-level, part-time work that reasonably undercuts the opinions of Dr. Gordon or Dr. Olson, based on their expert review of the medical records, that Plaintiff has "severe" mental impairments and is unable to perform her past skilled work in the field of banking/finance. In addition, the ALJ's reliance on Plaintiff's capacity for basic daily activities like driving, preparing meals, watching TV, etc. is similarly flawed on this record. In fact, Dr. Gordon and Dr. Olson recognized that Plaintiff is able to do tasks such as driving, cooking, cleaning, reading, and sewing and "can thus overall attend to basic tasks which are simple, routine, repetitive and familiar in nature." (Tr. 84, 92). However, they also concluded that Plaintiff "will though have errors in concentration on detailed, complex tasks," and thus they opined that she was limited to unskilled work. Id. Based on this record, Plaintiff's ability to perform an entry-level, part-time job and basic activities of daily living does not reasonably support the ALJ's rejection of these medical opinions. Moreover, the ALJ's findings in this regard are directly inconsistent with his proposal at the hearing to approve Plaintiff's claim as of October 7, 2020 since Plaintiff was working part-time and engaging in those other reported daily activities at that time.

This is a narrow and fact-specific case. Because of Plaintiff's age and work history, the claim

boils down to whether Plaintiff's mental impairments have now limited her to unskilled work as opined

by Dr. Gordon and Dr. Olson. At a minimum, those opinions were strong enough on this record to

meet Plaintiff's Step 2 burden and that Step 2 error appears to have negatively infected the ALJ's RFC

evaluation. A remand is warranted.

**CONCLUSION** 

For the reasons discussed herein, I recommend that Plaintiff's Motion to Reverse (ECF No.

11) be GRANTED and that the Commissioner's Motion for an Order Affirming (ECF No. 12) be

DENIED. I further recommend that Final Judgment enter in favor of Plaintiff remanding this matter

for further administrative proceedings consistent with this decision.

Any objection to this Report and Recommendation must be specific and must be filed with the

Clerk of the Court within fourteen days of its receipt. See Fed. R. Civ. P. 72(b); LR Cv 72. Failure to

file specific objections in a timely manner constitutes waiver of the right to review by the District Court

and the right to appeal the District Court's decision. See United States v. Valencia-Copete, 792 F.2d

4, 6 (1st Cir. 1986); Park Motor Mart, Inc. v. Ford Motor Co., 616 F.2d 603, 605 (1st Cir. 1980).

/s/ Lincoln D. Almond

LINCOLN D. ALMOND

United States Magistrate Judge

March 31, 2022

-14-